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Trajectory Forms as Information for Visual Event Recognition:

3D Perspectives on Path Shape and Speed Profile

Emily A. Wickelgren

California State University, Sacramento

Geoffrey P. Bingham

Indiana University

Geoffrey P. Bingham

Department of Psychological and Brain Sciences

1101 E. 10th Street

Bloomington, IN 47405-7007

812 855 4322

Fax: 812 855 4691

gbingham@indiana.edu

#### Abstract

Trajectory forms in events consist of the path shape and the speed profile (Bingham, 1987; 1995). Wickelgren and Bingham (2004) showed that adults can use the speed profile as visual information to recognize events from different perspectives, despite perspective distortions and differences in optical components. We now investigate whether adults can use trajectory forms to recognize events when the path shape varies as well as the speed profile and the forms are viewed from 3D perspectives. In Experiment 1, we tested recognition of events that differ in path shape (with the speed profile held constant). In Experiment 2, we tested recognition of events in which speed profiles were mapped onto circular paths. In Experiment 3, as a strong test of sensitivity to trajectory forms, we tested simultaneous separate recognition of speed profile and path shape when both varied across events. In all 3 experiments, events were viewed from multiple perspectives in 3D. The results show that both the shape of the path and the speed profile provide information for visual event recognition. We found that adults exhibit constancy (or view invariance) in being able to use trajectory forms to identify the same events when viewed from different perspectives in 3D.

Trajectory Forms as Information for Visual Event Recognition:

3D Perspectives on Path Shape and Speed Profile

Visual event perception is the process and ability of identifying events based on the information in the optic flow pattern. The earliest studies of information in visual event perception focused on the phase relations among the visible points in rhythmic or oscillatory events (Johansson, 1950). The role of relative phase has been studied extensively both in visual event perception studies (Bingham, 1995; Bertenthal,1996; Bertenthal & Pinto, 1993; 1994; Bertenthal Proffitt & Cutting, 1984; Bertenthal, Proffitt & Kramer, 1987; Booth, Pinto & Bertenthal, 2002) and in studies on perception in bimanual coordination (Bingham, 2004; Bingham, Schmidt & Zaal,1999; Bingham, Zaal, Shull & Collins, 2001; Wilson & Bingham, 2005a; 2005b; Zaal, Bingham & Schmidt, 2000).

More generally, however, events can be understood as spatial-temporal objects that exhibit characteristic shapes or forms just as do more familiar spatial objects (Bingham, 1987; Bingham, 1995; Bingham, Rosenblum & Schmidt, 1995: Runeson, 1974). Bingham (1995) formulated this in terms of trajectory forms. A trajectory consists of variations in position and speed of a moving point. Trajectories exhibit path shapes and speed profiles along those paths. Such trajectory forms are different in different events. The oscillatory trajectory of a bouncing ball is quite different from that, for instance, of a manually oscillated hammer being used to hammer in a nail (Bingham, Rosenblum & Schmidt., 1995). If trajectory forms are event specific, then trajectory forms might be used to recognize events just as the shapes of objects can be used to recognize them.

Runeson (1977) argued that the physical dynamics responsible for generating the motions in an event produce unique kinematic (or motion) properties that enable observers who detect those motions to perceive corresponding dynamic properties of the events. Runeson developed this idea, which he called "KSD" (Kinematic Specification of Dynamics), in the context of his work on perception of the mass of objects in collision events or amounts of weight in a human lifting event (Runeson, 1977; Runeson & Frykholm, 1981; 1983). These are examples of the scaling problem in visual perception. How can the spatial-temporal optical pattern, which is angular (and therefore, only time dimensioned) provide information about scale properties in events other than time (e.g. distance, size, mass, etc.)? Subsequent studies showed that the timing of readily identified gravitationally governed events (pendular events, balls rolling downhill, bouncing balls, falling water, walking dogs, etc.) provides information allowing the size and distance of objects in the events to be judged (Jokisch & Troje, 2003; McConnell, Muchisky & Bingham, 1998; Pittenger, 1985; 1990; Stappers & Waller, 1993; Twardy and Bingham, 2002; Watson, Banks, von Hafsten & Royden, 1992). The solution revealed by these studies is that the trajectories of visible points in an event are lawfully governed by the underlying event dynamics, which uniquely couple spatial and temporal properties so that one can provide information about the other.

Bingham (1995) extended Runeson's treatment to address the problem of event recognition. Bingham et al. (1995) showed that simple events consisting of a single moving point could be distinguished and recognized using the trajectory forms. Observers discriminated a freely bouncing object from one moved by hand. A freely swinging object was discriminated from one moved by hand. Furthermore, each event was recognized and, in particular, whether events were animate or inanimate was correctly identified. Other more complex events were similarly recognized (for instance, wind blown objects, objects moving in liquid that was stirred or splashed, a kicked ball, a ball rolling downhill). Furthermore, the trajectory forms of many of

these events were asymmetric and orientation specific with respect to gravity. Bingham et al. found that when such trajectory forms were inverted, the events were no longer recognized. Similarly, observers have failed to recognize human walking when the event kinematics were inverted (Pavlova & Sokolov, 2000; Sumi, 1984). Bingham et al. (1995) also tested the effect of changes in the relative orientation of observers and events by having inverted observers judge upright event kinematics. In this case, the events were correctly identified. These results show that the events are perceived relative to the generative dynamical context, that is, the downward gravitational force. The trajectory forms of events are specific to the dynamics that deterministically generate them. If the dynamics are specific to the type of event, then trajectory forms can provide information about event types that would allow them to be recognized. Bingham et al. provided evidence that events are perceptually taxonimized in terms of the types of underlying generative dynamics.

These studies provided some evidence that human observers are sensitive to trajectory forms and are able to use them to recognize events. A number of questions remain. First, trajectory forms can vary in two ways. One is that the form of the speed profile along a given path of motion can vary. The other is that the form of the path of motion can vary for a given speed profile. Are observers sensitive to both dimensions of variation of trajectory forms? Second, trajectory forms are properties of events that must be projected into optic flow to be detected by the visual system. What are the optic flow variables and how are the two aspects of trajectory forms, path and speed, mapped into those variables? Third, the trajectory forms of events map into spatial-temporal optical patterns via perspective projections and thus, the forms are subject to perspective distortions just as are the shapes of objects when projected into optical images. Does event recognition exhibit constancy (or view invariance), that is, does a given

trajectory form allow the event to be recognized correctly when it is viewed from different 3D perspectives? Previous studies have addressed some of these questions.

Muchisky & Bingham (2002) showed that adults can use the information in the speed profile of a nonlinear oscillator to recognize the event. An object oscillated along a straight path in a frontoparallel plane. Thresholds for both asymmetric (skew) and symmetric (kurtosis) variations in form were measured as well as the ability to use the corresponding forms to reliably recognize the corresponding events. Thresholds were found to be comparable to those established for velocity discrimination and observers were able to use the forms to recognize events.

Wickelgren and Bingham (2004) showed that people can use the information present in the speed profile of an event to recognize the same event when viewed from different perspectives. Participants viewed an object oscillating along a straight path in a frontoparallel plane with one of five different speed profiles and identified which event they were viewing. Then, the same events were viewed from a perspective looking along the straight path extending away in depth so that the object moved towards and away from the observer. The perspective change incurred large perspective distortions in the trajectory forms. At the same time, the optical variables changed from rigid image translation to nonrigid image expansion and contraction. (Note: Johansson (1950) referred to these as common and relative motion components, respectively.) Participants continued to be able to recognize the events despite these changes. When the path of motion was viewed from an oblique angle, the perspective distortions were carried simultaneously by both optical variables, that is, image translation and expansion/contraction and the events were still recognized. Thus, human observers are able to detect speed profiles and use them to recognize events despite projective distortions that can occur with changes in 3D perspective.

Trajectory forms also vary in respect to path shape. The current studies were designed to investigate the use of path shape as information about event identity as well as the combination of path shape and speed profile. In Experiment 1, different events were created by varying the shape of the path along which an object traveled at constant speed. Observers became familiar with the events by viewing them from a perspective, which placed the paths in a frontoparallel plane. Subsequently, recognition was tested when events were viewed with the motion in a horizontal plane at eye level (thus, extending in depth) to determine the potential effects of perspective distortions. In Experiment 2, an object moved along a circular path with different speed profiles. Again, observers became familiar with the different events while viewing motion in a frontoparallel plane. Subsequently, recognition was tested while viewing motions in depth. Finally, in Experiment 3, both path shapes and speed profiles were varied. Five different path shapes were combined with five different speed profiles to create 25 different events. The ability to recognize the events in terms of the path shapes and speed profiles was tested when observers viewed the motions in depth so that viewing was subject to perspective distortions. As shown in Appendix A, the speed profile and path shape of a trajectory form are specified in the optical flows. If  $T_0$  is the optical translation and  $E_0$  is the optical expansion/contraction component, then the speed profile is specified by

$$V(t) = D(t) * sqrt(T_o^2(t) + E_o^2(t)),$$

where D(t) is the viewing distance. If viewing distance is large (that is,  $D \gg \Delta D$ , where  $\Delta D = D_{max}$ -  $D_{min}$  over the course of the event), then D(t)  $\approx$  D<sub>c</sub> (that is, D constant). At closer distances, D(t) = D<sub>c</sub> yields perspective distortions as discussed and investigated by Wickelgren and Bingham (2004). The path shape requires the direction of motion,  $\emptyset(t)$ , in addition to the speed.

The direction is specified by

$$\mathcal{O}(t) = \arctan[E_o(t)/T_o(t)].$$

Given the availability of both speed and path in the optics, we expected that observers might be able to perceive these events in terms of these two separable aspects of the form rather than as a single integral form. That is, they should be able to distinguish a set of speed profiles and a set of path shapes rather than the set of events composed as the product of these two sets.

#### EXPERIMENT 1:

### **RECOGNITION OF PATH SHAPE FROM DIFFERENT PERSPECTIVES**

Experiment 1 was designed to test how well people can recognize different path shapes when viewed from different perspectives. The speed profile was held constant and was, in fact, a constant speed. Observers became familiar with 5 different path shapes viewed in a frontoparallel plane. Then, they were asked to identify these shapes when viewed in depth, that is, the plane containing the path was horizontal at eye level and thus, viewed edge on.

#### METHODS

### **Participants**

Twenty adults participated in this study. They were recruited from the introductory psychology subject pool. Participants received course credit for their participation. The two participants with the highest accuracies received a \$20 bonus. Participants were told they would receive a \$20 bonus if they had one of the two highest accuracies to increase their motivation to do well.

### **Display Generation**

The events consisted of a ball that moved with a constant speed along one of five different path shapes (Figure 1). The five shapes were Asymmetric, Bimodal, Circular, Flower and Stretched. The paths were created using parametric equations for each of the shapes. Since the object moved with a constant speed, the points along the path were equally spaced. Note that the Asymmetric, Bimodal, Circular, and Stretched path shapes mirrored the forms of the speed profiles used in Wickelgren and Bingham (2004). This enabled us to compare performance in the two cases, that is, with speed profiles and path shapes, when tested separately and also when combined subsequently in Experiment 3.

For all five path shapes, we needed functions that ultimately formed a loop, with no discernable beginning or ending. While the circular and flower shaped paths were continuous functions, the asymmetric, bimodal, and stretched shaped paths were created by piecing together portions of functions (pathwise continuous). To create the Asymmetric Path, Hypotrochoid equations were used as follows:

X=((R-mR)\*Cos[mt])+(h\*Cos[t-(mt)])Y=((R-mR)\*Sin[mt]-(h\*Sin[t-(mt)])

where h is a constant that controls the size of the curve, R is a constant that determines the number of involutes, and m is a constant that determines the shape of the curve. They generate a triangular shape as shown in Figure 1. To create the entire asymmetric path, the X, Y coordinates specifying this function were copied. The signs of the coordinates were changed to specify the bottom portion of the path, and then appended to the end of the original file specifying the top portion of the path.

The Bimodal path, shown in Figure 1, was created similarly, but instead of a top and

bottom portion, four quadrants were pieced together. The Lemniscate of Montferrier equations,

$$X = a*Cos(t)$$
$$Y = (a^{2}/2b)*Sin(2t)$$

were used to generate each quadrant, where a is a constant that controls the size of the curve, and b is a constant that determines how peaked or rounded the curve is.

The Circular path was created in its entirety using the parametric equations for a circle, where a is a constant that controls the size of the curve.

$$X=a*Cos(t)$$
$$Y=a*Sin(t)$$

The Flower path was also created in its entirety using a version of the equations for a Hypotrochoid, where m is a constant controlling the shape of the curve, and h controls the size.

$$X=(Cos[mt])+(h(Cos[t-mt]))$$
$$Y=(Sin[mt])-(h(Sin[t-mt]))$$

Similar to the Bimodal path, the Stretched path was created by splicing together four curves using the following equations, where h is a constant that determines how peaked or rounded the curve is.

$$X = (-(Cos[t]))^{h}$$
$$Y = (Sin[t])^{h}$$

The sign of the equations determined the quadrant of the curve.

These events appeared in an upright view and a depth view. In the upright view, the event was projected so that the plane of the path was frontoparallel. Figure 2 shows how an event with the circular path appeared in the display. In this orientation, information about path shape was available from horizontal and vertical translation components; there were no changes

in the image size of the round object. The diameter of the circular path was 12.5 cm and was centered on the computer screen. The left/right screen amplitude of the other 4 path shapes was 12.5 cm and the largest distance in the vertical dimension was 12.5 cm. The period of the events was 2 seconds. The size of the object on the screen was 2.3 cm. The observers were seated approximately 50 cm from the monitor, and the side-view events were simulated to be at the distance of the surface of the computer monitor. The visual angle for the displays was 13 degrees, for an average optical velocity of approximately 13 degrees per second. The events also appeared in a depth view, in which the plane of the path was perpendicular to the plane of the display and parallel to the line of sight. In this orientation, the object oscillated back and forth along a straight line on the screen (Figure 2). The image size of the object varied according to its distance as determined by the path in depth. The center of the circular path was simulated to be at the distance of the surface of the computer monitor, so that the object was traveling in front of and then behind the plane of the computer monitor. The relationship of the image size to horizontal position provided information about path shape when the shape was viewed in depth. For each path shape, the closest simulated point of the path was 37.5 cm away from the observer, and the farthest point of the path was 62.5 cm away. The same screen amplitude, object size, and period were used for the depth events with the same average optical velocity of 13 degrees per second.

#### Design and Procedure

The experiment consisted of two phases, a training phase and a testing phase. The purpose of the training phase was to assure that the observers could reliably accurately identify which path shape they were viewing in the upright condition. Before the training phase, the observers were shown the five path shapes in the upright condition, and were allowed to view them as many times as they wanted. Once they felt comfortable with the differences, they moved onto the Training Phase of the experiment. In this phase, observers were presented with blocks of 25 trials each (the 5 path shapes each shown 5 times). The task of the observers was to identify which path they just viewed (A,B,C,D,or E). After each identification, they were given feedback about their performance. If they were incorrect, they were told which display had been presented to them. After each block the observers were told what their accuracy was for that block. If the criterion for accuracy was not reached, then the observers performed another training block. The criterion for the training phase was an accuracy of 80% or better for each path shape (80% accuracy for asymmetric path, 80% accuracy for bimodal path, etc), for two blocks in a row. Once the criterion was achieved, the testing phase of the experiment began. In this phase, the same path shapes were presented to the observers in 6 blocks of 25 trials each. However, the events were shown in the depth orientation, so that the image size of the circle changed according to its distance from the observer as it traveled back and forth across the screen. The task of the observer was the same as during the training phase: identify which path shape was shown in depth. During the testing phase observers were not given feedback about their performance.

#### **RESULTS AND DISCUSSION**

The combined response matrix for all twenty observers is shown in Appendix B. The first dependent measure calculated was percent correct, to assess how accurate identification was in the testing condition. During the training phase, observers had no difficulties identifying the path shapes; their mean terminal accuracy was 97.57% (SD=2.53%), and they only needed an

average of 2.4 blocks to reach criterion (the minimum they had to do was 2). The mean (and standard deviation) percent correct during the Testing phase were 85.21% (7.41%), where chance performance would be 20%. The observers were clearly not having much difficulty identifying the path shapes in the depth orientation.

The second dependent measure calculated was d-prime (d'). This was used to determine if the observers tended to confuse any of the path shapes more than any of the others. First, d' were computed for each event compared to the other events combined (Table 1). For example, a d' was computed for the Asymmetric path shape versus the other four path shapes combined, to see if the Asymmetric path was difficult to discriminate. Pairwise d' were also calculated for each combination of path shapes, to see if any two displays were confused by participants (Table 2). A rule-of-thumb is that a d' above 1.0 indicates sensitivity to the difference in displays, whereas anything below 1.0 indicates that the two stimuli were confused (Macmillan & Creelman, 1991). For example, the d' for the Asymmetric event was 2.15, which indicates that observers had no difficulty discriminating the Asymmetric path from the other path shapes. Overall, in this task, observers did not appear to have any trouble identifying the path-shapes when viewed in depth (all d-primes are above 1.0). To test if any d' were significantly different from any other d', 95% confidence intervals were computed for the difference in d' (Table 3). The results indicated that the Bimodal and Circular paths had the best performance (no significant difference), followed by the Flower path, and the lowest discrimination performance was for the Stretched and Asymmetric path shapes (no significant difference). The results indicated that the comparison of the Asymmetric path and Stretched path had a significantly lower d' than any other pairwise comparison. While observers could still reliably discriminate between these two, their performance was significantly worse than for other displays. This

finding is notable, because Muchisky & Bingham (2002), found that the speed profile shapes that were most confused were the Asymmetric and Stretched speed profiles. The two forms both exhibit large variation in curvature including sections of very low (nearly flat) curvature and sections of high curvature. These aspects seem to be highly salient, enough so to make the forms confusable despite the difference in symmetry.

In sum, the results of Experiment 1 showed that adults are very good at identifying path shapes viewed from different 3D perspectives.

#### EXPERIMENT 2:

#### **RECOGNITION OF SPEED PROFILES ALONG A CURVED PATH**

Wickelgren & Bingham (2004) showed that adults are sensitive to speed profiles for events with a simple straight path, but paths of motion are rarely so simple. Experiment 2 was designed to determine if people can identify speed profiles when they occur along a more complex path viewed in depth, namely a circle. Do people exhibit constancy in identifying such speed profiles from different 3D perspectives?

#### METHOD

### Participants

Sixteen adults participated in this study, and were paid \$6. Participants were told they would receive a \$20 bonus if they had one of the two highest accuracies to increase their motivation to do well.

### Design and Procedure

There were five event displays that varied in their speed profiles. The speed profiles of the events (asymmetric, bimodal, constant, harmonic, and stretched) were the same five forms as in Wickelgren & Bingham (2004) (Figure 3). The asymmetric form was generated using a van der Pol oscillator,

$$\ddot{\mathbf{X}} = -\lambda \dot{\mathbf{X}} (\mathbf{X}^2 - \mathbf{I}) - \mathbf{k} \mathbf{X}$$

where  $\lambda$  was a positive coefficient on the nonlinear damping term. As the value of  $\lambda$  is increased, the peak speed of the event moves farther from the midpoint of the path, producing the characteristic asymmetric nature of the event. For each of the displays, we chose the same coefficients as used in Wickelgren & Bingham (2004). For the asymmetric velocity profile,  $\lambda$ =1.75 and k=1.9.

The bimodal speed profile was generated using the equation for a hard spring,

$$\ddot{\mathbf{X}} = -\mathbf{c} \cdot (\mathbf{X} - \mathbf{k} \cdot \mathbf{X}^3)$$

where c is a constant controlling the period of the event and k is the nonlinearity determining the shape variation. For the bimodal speed profile, c=1 and k=2.5. By increasing k, the circular speed profile begins to flatten and eventually begins to dip in the middle forming a bimodal profile with two peaks that occur at each end of the path.

In the constant speed profile, the object was constant in speed as it traveled along the path.

The harmonic velocity profile was created using a harmonic oscillator,

$$\ddot{X} = -kX$$

where k is the linear stiffness term and was set to 1.58.

The final speed profile, stretched, was produced using the equation for a soft spring.

$$\mathbf{X} = -\mathbf{c} \cdot (\mathbf{X} + \mathbf{k} \cdot \mathbf{X}^3)$$

As with the equation for a hard spring, the constant c controls the period of the event. As the nonlinearity, k, increases, the speed profile becomes increasingly peaked or stretched. For the stretched event, c=7.52 and k=.6.

For each of these speed profiles, the object moved along a circular path (the same circular path used in Experiment 1). Speed profiles were mapped to paths by indexing points along the path in terms of proportional length along the path. The position values for each sample of a speed profile were normalized to a pathlength of 1 for a cycle, that is, they were expressed as a proportion of the pathlength for a cycle. The equations for the path shapes were re-parameterized to pathlength so then, the (proportional) pathlengths for the speed profiles could be entered into the parameterized path shape equations to yield appropriate x(t), y(t) coordinate values for each succeeding sample. The zero speed points of the speed profiles were mapped to the left and right endpoints on the screen.

The rest of the overall design and methods of this experiment were identical to those of Experiment 1. Participants performed a training phase where they were shown the events with the path in a frontoparallel plane and they received feedback on their identifications. Once they had achieved the 80% minimum accuracy, they proceeded to the testing phase where they viewed the displays in depth and received no feedback on their identifications.

### **RESULTS AND DISCUSSION**

The combined response matrix for all sixteen observers is shown in Appendix C. Observers took more time than in Experiment 1 to learn the different events. Their mean terminal accuracy for the training phase was 90.07% (SD=4.68%), but they required an average of 3.94 blocks to reach criterion. The mean (and standard

deviation) percent correct during the Testing phase were 86.04% (12.86%). While it took them slightly longer to learn the different events, the participants did not have difficulty identifying the speed profiles in the depth orientation. Their performance was equal to that in Experiment 1.

As in Experiment 1, d' were first computed for each event compared to the other events combined Table 4. All the d' were well above 1.0 indicating that participants were able to discriminate each of the displays from the others. To test if these d' were significantly different from each other, 95% confidence intervals were computed for the difference in d'. There were significant differences among the d' for the events, with performance from highest to lowest as follows: Constant, Bimodal, Harmonic, and the Asymmetric and Stretched speed profiles tied for lowest performance.

Pairwise d' were also calculated for each combination of path shapes, to see if any two particular displays were confused by participants (Table 5). Again, all the d' values were well above 1.0, so overall, in this task, observers exhibited constancy in their ability to recognize speed profiles along a curved path viewed in depth. To test if any d' were significantly different from any other d', 95% confidence intervals were computed for the difference in d' (Table 6). The results indicated that the comparison of the Asymmetric path and Stretched path had a significantly lower d' than any other pairwise comparison. While observers could still reliably discriminate between these two, their performance was significantly worse than for other displays. These are the same two shapes that were most confused in Wickelgren and Bingham (2004) and in Muchisky and Bingham (2002) where speed profiles were tested, as well as in Experiment 1 where path shapes were tested. Clearly, these shapes are difficult to discriminate however they are expressed. The overall conclusions from Experiment 2 are that first, adults can reliably recognize events with different speed profiles on a circular path, and second, they exhibit constancy in identifying these events when they are viewed in depth.

#### **EXPERIMENT 3:**

#### **RECOGNITION OF SPEED PROFILES AND PATH SHAPES**

Experiment 1 showed that adults can identify path shapes viewed from different perspectives when the speed profile was held constant. Experiment 2 showed that adults can identify the speed profile of an event on a curved path when viewed from different perspectives. Experiment 3 was designed to test if adults can simultaneously and separately identify path shapes and speed profiles when both are allowed to vary between events. It is possible that path shape and speed profile are detected as integral properties of trajectory forms, but the ability to detect these aspects separately would be more powerful and efficient.

We created a set of 25 different events by combining 5 different path shapes with 5 different speed profiles. Observers might perceive each event as a unique integral form, and if so, they would have to become familiar with each of the 25 members of the set to be able to recognize the events. Nevertheless, we trained observers only with the 5 path shapes and 5 speed profiles. Observers were not familiarized with the 25 events composed of these respective subsets. The question was whether they would be able to recognize the events nevertheless in terms of the path and speed forms? This was as a strong test of the hypothesis that trajectory forms provide information for event recognition because, strictly speaking, observers were not allowed to become familiar with the trajectory form for each event to be recognized. Furthermore, they were familiarized with path shapes and speed profiles viewed from a different 3D

perspective than the one in which recognition was tested. So, another question addressed in this experiment was whether observers would exhibit constancy of event recognition.

#### METHOD

### **Participants**

Eight adults participated in this study, and were paid \$6. Participants were told they would receive a \$20 bonus if they had one of the two highest accuracies to increase their motivation to do well.

## Design and Procedure

In Experiment 3, each of the 5 speed profiles was mapped onto each of the path shapes creating a total of 25 different events. For example, the asymmetric speed profile was mapped onto each of the five path shapes, the bimodal speed profile was then mapped onto each of the five path shapes, etc. The displays were created using the same methodology as in Experiments 1 and 2; the speed profile determined the proportion of path length that the object traveled in each sample.

The design was similar to that in Experiments 1 and 2 with the following exceptions. First, the training portion of the experiment consisted of two separate training phases. The observers first performed a training phase to identify the path shape of the events. This training phase for path, was identical to the training phase of Experiment 1. Observers viewed each of the five path shapes with constant speed in the upright orientation, and identified which path shape it was. They were given feedback on their performance. Once the observers had achieved 80% accuracy or better for two

consecutive blocks, they moved onto the next training phase, that for speed profile. The speed profile training phase was identical to the training phase in Experiment 2. Observers viewed each of the five velocity profiles on the circular path and made a judgment. They received feedback and needed to achieve at least an accuracy of 80% for two consecutive blocks before moving onto the next phase of the experiment. After they had completed both training phases, observers were told that they would now see events that could be any combination of the five path shapes and speed profiles. Furthermore, they were told that they would be viewing the combined events in depth. The testing phase was identical to those in Experiments 1 and 2, except the observers made two judgments instead of one. After viewing an event, they would first make a judgment identifying the speed profile, and then second a judgment to identify the path shape. They were not given feedback during the testing phase.

#### **RESULTS AND DISCUSSION**

The combined response matrices for the eight observers judgments of path shapes and speed profiles are shown in Appendices D and E respectively. As with the other experiments, we first calculated percent correct. The mean (and standard deviation) percent correct during the Training phase for path shape were 97.41% (5.33%), and the mean (and standard deviation) percent correct for the speed profile training phase was 91.67% (4.33%). It took the observers an average of 2.13 blocks to achieve the 80% accuracy minimum during the path shape training, and an average of 3.25 blocks for the speed profile training. Speed profile training had also taken longer in Experiment 2 than had path shape training in Experiment 1. Learning the speed profiles was more difficult.

The mean (and standard deviation) percent correct during the Testing phase

were 62.25% (14.16%) for the path shape judgments and 51.17% (15.46%) for the speed profile judgments. In the previous two experiments in which these two tasks were performed separately, performance was approximately 85.21% for path shape and 86.04% for the speed profile. The decrement in the performance levels could have been due to one or both of two circumstances. First, observers in this experiment had to remember and discriminate among twice as many forms as did observers in the previous experiment . Secondly, the two aspects of trajectory forms may have interacted so as to interfere with one another, especially in the context of the perspective distortions. Nevertheless, while performance was not as good in this experiment as in the previous experiments, these results indicate that observers were able to identify both the path shape and speed profile of an event, each separately, when the two aspects of trajectory form varied together and when the respective events were viewed in 3D perspective.

To test for ability to discriminate between the events, we also calculated separate d' for the path shape and speed profile judgments for each event compared with the other events combined Table 7. All the d' for both path shape and speed profile judgments were above 1.0, except for the Harmonic speed profile (d'=.0.73), indicating that participants were able to discriminate all of the displays from the others except when shown displays with a Harmonic speed profile.

Pairwise d' were also calculated for each combination of path shape and each combination of speed profile, to see if any two particular forms were confused by participants (Table 8). Again, all the d' values were above 1.0, except for the comparison of the Asymmetric and Stretched speed profiles (d'=0.94). A 95% confidence interval indicated that this was significantly worse than for the next best pairwise comparison (the Bimodal/Harmonic d'= 1.03) (95% CI = -0.51, 0.32). In

Experiments 1 and 2, the d' were lowest for this same combination of forms, although in the previous experiments observers were still discriminating between them. Despite this one comparison, it should be emphasized that participants were reliably discriminating between all of the path shapes and all but one of the speed profiles, when viewed entirely in depth. Overall, in this task, observers did exhibit perceptual constancy.

We also wanted to see if observers were significantly better at identifying either the path shape or the speed profile in this experiment. We computed the 95% confidence intervals comparing the mean d' value for the overall path comparisons (mean d' = 1.74, SD = 0.64) and the overall speed profile comparisons (mean d' = 1.17, SD = 0.38) shown in Table 7. The confidence interval indicated that there was no difference between the d-prime values for the path shape and speed profile discriminations (95% CI = -0.19, 1.34). We also compared the d-prime values for the pairwise comparisons in Table 8. There was also no significant difference in the overall ability to discriminate the path shapes (mean d' = 2.53, SD = .80) and the ability to discriminate the speed profile shapes (mean d' = 1.92, SD = .95) (95% CI = -0.22, 1.43). Observers performed equally well on the two types of identifications.

#### GENERAL DISCUSSION

We investigated whether observers were able to use both aspects of trajectory forms to recognize events. Previous studies had shown that observers could use speed profiles whether viewed in a frontoparallel plane or in 3D perspective. We now investigated use of path shapes. In Experiment 1, we found that observers could discriminate between and identify trajectory forms that varied only in path shape. When shown the same events in depth, observers could easily identify the events that

had been seen in a frontoparallel plane. Next, we investigated the use of speed profiles on curvilinear paths in 3D. In Experiment 2, observers were able to discriminate between different speed profiles in events with circular paths viewed in 3D perspective.

Finally, we investigated whether observers were separately sensitive to either aspect of trajectory forms when they varied independently across events. Because information about speed and path are separately available in the optic flow, we expected that observers might be able detect their variations separately. Nevertheless, this was a strong test of the idea that trajectory forms might provide information used to recognize events. The reason is that it is possible that trajectory forms are detected as integral entities, meaning that observers would have to have become familiar with each of the 25 different events tested to be able to recognize the events. Instead, we found in Experiment 3 that observers were able to identify each of the two aspects of a trajectory form separately. With previous exposure and familiarization only with each of the 5 path shapes and the 5 speed profiles, they were subsequently able to recognize each of the 25 different events composed of these two parts. Furthermore, they were able to identify the events when viewed from different 3D perspectives that entailed significant perspective distortions.

There is some evidence that observers might perceive trajectories as integral forms in which the two aspects, path shape and speed profile, are lawfully coordinated. Viviani performed a number of studies in which he investigated the kinematics of human limb movements including writing and drawing movements, reaching and 3D scribbling movements of the hand performed freely in the air (e.g. Lacquaniti, Terzuolo & Viviani, 1983; Viviani & McCollum, 1983). The results revealed an invariant scaling relation between the curvature of the path of movement and the speed along that path such that the curvature and speed were inversely related by a 2/3 power law. Subsequently, Viviani and Natale (1992) investigated the visual perception of such movements. They systematically varied the relation between path curvature and speed and asked observers to judge which speed profiles appeared constant. They found that trajectories exhibiting the 2/3 scaling law were judged as constant speed trajectories. On the basis of this result, Viviani and Natale argued that human perception is especially attuned to such trajectories because they are characteristic of human limb movements.

Runeson (1974) had also investigated the perception of trajectories using phenomenological report measures. In that study, motion was always along a straight path in a frontoparallel plane. Observers were asked to draw the speed profiles for different trajectories including constant speed, acceleration to constant speed (which Runeson called "natural start"), constant acceleration and constant deceleration. Runeson found that observers judged the "natural start" displays as constant speed. Bingham and Runeson (1983) subsequently replicated this study using the same displays but asking observers to draw the profile of the force that produced the movements. Observers drew the same graphs in both studies. But the important results were that (1) observers drew different graphs for each motion which meant that they could discriminate among the motions and (2) they consistently drew the same graph for each motion each time they judged it, meaning that they could recognize each of the motions. Bingham and Runeson (1983) concluded that no strong inferences should be drawn about the phenomenology because observers produced the same reports when asked to judge velocity and when asked to judge force. Rather, Bingham and Runeson simply concluded that the trajectory forms could be detected and used to recognize events.

In Runeson (1974), a different trajectory type was judged as constant speed than

found in Viviani and Natale (1992). This circumstance would also suggest that the phenomenology not be strongly interpreted. Nevertheless, the Viviani studies indicate that some trajectories may be treated integrally by the visual system. This is also suggested by the orientation specificity found for trajectories of gravitational events. On the other hand, we found in the current study that path shape and speed profile could be used separately to recognize events. The results in Viviani and Natale (1992) also indicated that observers were able to discriminate differences in speed profiles occurring along a given path. The bottom line is that the visual system clearly can and does use trajectory forms to recognize events and that a trajectory form is determined by both the path shape and the speed profile.

Finally, the problem of event recognition has also been investigated in machine vision. Often, the problem has been treated as a matter of predicting the next image in a sequence of images (Mann, Jepson & Siskind, 1997). Although Newtonian dynamics has been used in such efforts, the motion in events has not been used explicitly or directly. However, motions have been used in other efforts. In a number of papers, Davis has advocated an approach to the recognition of oscillatory events that applies trajectory templates to measured trajectories (e.g. Davis, 2001; Davis, Bobrick & Richards, 2000; Davis & Richards, 2000). This work, however, requires that all motion occur in a frontoparallel plane, that is, it assumes that trajectories in 3D space are the same as those in the 2D optics. This, therefore, has little practical relevance to 3D event recognition.

An approach in the machine vision literature that is closest to that described in the current paper is that of Rubin and Richards (1985) and of Rao, Yilmaz and Shah (2002). These investigators have explicitly addressed the problem of view invariance (that is, constancy) in the recognition of 3D events. They characterize events in terms of

certain qualitative properties of trajectories. They argue that discontinuities in speed and/or direction map into images in a view invariant fashion and thus, that such qualitative information can be used to recognize events viewed from different perspectives. These investigators failed, however, to consider optic flow information about motion in events as described in the current paper. Their analysis and approach was thus designed to grapple with an assumed loss of all information about 3D trajectory components that lie in the depth direction, that is, parallel to the gaze axis. Naturally, in such an analysis, all metric properties of 3D trajectories would be lost as well as many other qualitative properties like actual 3D path curvature. In contrast, our approach includes relevant analysis of optic flow information and thus, does not assume this severe loss of information. Indeed, our results show that successful event recognition is not limited to use of a spatial-temporal layout of discontinuities in trajectories. Instead, we found that smooth variations in both the path and speed of motion provide effective information for visual event recognition. While some of the trajectory forms illustrated in Figures 1 and 3 did involve discontinuities in the changes of direction of speed of motion (for instance, the bimodal or flower path shapes or the constant speed profile), a number of others did not (for instance, the asymmetric and circular path shapes or most of the velocity profiles) and these were successfully discriminated and recognized. Furthermore, Muchisky and Bingham (2002) investigated discrimination of metric variations in trajectory forms and found thresholds equivalent to well established difference thresholds for speed discrimination, that is, Weber fractions on the order of 5%. Human observers are very sensitive to these dimensions of events.

The central insight contained in this research is the following: understanding gained from the study of object recognition applies to event recognition. The problems

and presumably the solutions are very closely related. It is the forms of events that allows them to be recognized just as it is the forms of objects that makes them recognizable. The difference is that event recognition entails structure existing over significant extents in time as well as space. This will be the challenge in future to theories about how the visual system detects and uses information to recognize events.

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## Author Notes

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Correspondence concerning this article should be addressed to Emily A. Wickelgren, Department of Psychology, California State University, Sacramento, 6000 J. St., Sacramento, CA 95819-6007. Table 1

<u>Calculated d-primes in Experiment 1 for Each Path Shape Paired with the Other Events</u> <u>Combined.</u>

Event Contrast	d-primes
Asymmetric vs Others	2.15
Bimodal vs Others	3.87
Circular vs Others	3.73
Flower vs Others	3.34
Stretched vs Others	2.24
Mean Sensitivity	3.06

Table 2

Calculated d-primes in Experiment 1 for Each Pairwise Comparison of Path Shape.

Event Contrast	d-primes
Asymmetric/Bimodal	5.39
Asymmetric/Constant	3.44
Asymmetric/Harmonic	3.74
Asymmetric/Stretched	1.66
Bimodal/Constant	6.27
Bimodal/Harmonic	3.41
Bimodal/Stretched	4.98
Constant/Harmonic	5.06
Constant/Stretched	3.91
Harmonic/Stretched	4.36
Mean Sensitivity	4.22

Table 3

<u>95% Confidence Intervals for Pairwise Comparison of d-prime values of Path Shapes in</u> Experiment 1.

Event Contrast	d-prime 95% Confidence Interval (lower bound, upper bound)
Asymmetric/Bimodal	-1.97, -1.46*
Asymmetric/Circular	-1.83, -1.32*
Asymmetric/Flower	-1.41, -0.97*
Asymmetric/Stretched	-0.28, 0.10
Bimodal/Circular	-0.17, 0.45
Bimodal/Flower	0.24, 0.81*
Bimodal/Stretched	1.37, 1.88*
Circular/Flower	0.10, 0.67*
Circular/Stretched	1.23, 1.74*
Flower/Stretched	0.87, 1.33*

\* significant at the .05 level

Calculated d-primes in Experiment 2 for Each Speed Profile Paired with the Other Events Combined.

Event Contrast	d-primes
Asymmetric vs Others	2.41
Bimodal vs Others	3.54
Circular vs Others	4.71
Flower vs Others	2.80
Stretched vs Others	2.37
Mean Sensitivity	3.17

Calculated d-primes in Experiment 2 for Each Pairwise Comparison of Speed Profiles.

Event Contrast	d-primes
Asymmetric/Bimodal	4.96
Asymmetric/Constant	6.07
Asymmetric/Harmonic	3.34
Asymmetric/Stretched	1.94
Bimodal/Constant	4.31
Bimodal/Harmonic	3.64
Bimodal/Stretched	5.41
Constant/Harmonic	5.58
Constant/Stretched	6.10
Harmonic/Stretched	2.89
Mean Sensitivity	4.42

<u>95% Confidence Intervals for Pairwise Comparison of d-prime values of Speed Profiles</u> in Experiment 2.

Event Contrast	d-prime 95% Confidence Interva (lower bound, upper bound)	
Asymmetric/Bimodal	-1.40 , -0.87*	
Asymmetric/Constant	-2.76, -1.85*	
Asymmetric/Harmonic	-0.63, -0.16*	
Asymmetric/Stretched	-0.18, 0.26	
Bimodal/Constant	-1.64, -0.69*	
Bimodal/Harmonic	0.46, 1.02*	
Bimodal/Stretched	0.91, 1.44*	
Constant/Harmonic	1.44, 2.36*	
Constant/Stretched	1.88, 2.79*	
Harmonic/Stretched	0.20, 0.67*	

\* significant at the .05 level

<u>Calculated d-primes in Experiment 3 for Each Path Shape Paired with the Other Events</u> <u>Combined and Each Speed Profile Paired with the Other Events Combined.</u>

Event Contrast	d' for path shape	d' for speed profile
Asymmetric vs Others	1.11	1.05
Bimodal vs Others	2.62	1.03
Circular vs Others	1.73	1.76
Flower or Harmonic vs Others	2.10 (Flower path)	0.73 (Harmonic)
Stretched vs Others	1.18	1.29
Mean Sensitivity	1.75	1.17

Calculated d-primes in Experiment 3 for Each Pairwise Comparison of Path Shape and Speed Profiles.

Event Contrast	d' for Path Shape	d' for Speed Profile
Asymmetric/Bimodal	2.69	1.93
Asymmetric/Constant	1.73	3.20
Asymmetric/Flower (Harmonic)	2.27	1.29
Asymmetric/Stretched	1.28	0.94
Bimodal/Constant	3.52	1.44
Bimodal/Flower (Harmonic)	3.10	1.03
Bimodal/Stretched	3.37	2.68
Constant/Flower (Harmonic)	3.07	1.72
Constant/Stretched	1.48	3.69
Flower (Harmonic) / Stretched	2.75	1.30
Mean Sensitivity	2.53	1.92

#### Appendix A

The viewing geometry is shown in Figure 4. A ball of size, S, moves around a circular path at a velocity, V(t). The viewing distance is D(t). The direction of motion is  $\phi(t)$ . The component of V in a frontoparallel plane is:

 $T(t) = V(t) \cos (\phi(t))$ 

and in the depth direction is:

$$E(t) = V(t) \sin(\phi(t)).$$

The image size, I(t), of the ball is:

I(t) = S/D(t).

The image expansion rate, I'(t), is:

$$I'(t) = I(t) * E(t) / D(t).$$

We set the expansion component of the optics,  $E_o(t)$ , to  $\tau(t)$ . A  $\tau(t)$  for the ball is computed as:

$$E_{o}(t) = \tau(t) = I'(t) / I(t) = E(t) / D(t)$$

The translation component,  $T_o(t)$ , is:

$$T_{o}(t) = T(t) / D(t).$$

Then,

$$\tan(\phi(t)) = E(t) / T(t) = E_o(t) / T_o(t)$$

and  $\phi(t)$  is specified optically as:

$$\phi(t) = \arctan[E_o(t) / T_o(t)].$$

To derive the optical specification of V(t):

$$V(t)^2 = T(t)^2 + E(t)^2$$

and

$$V(t) = D(t) * sqrt[T_o(t)^2 + E_o(t)^2].$$

Assuming  $D(t) \approx Dc = \gamma$ , then V(t) is specified within an unknown parameter,  $\gamma$ :

 $V(t) = \gamma * sqrt[ T_o(t)^2 + E_o(t)^2 ].$ 

#### Appendix B

#### Confusion Matrices for Path Shape Identification in Experiment 1.

**Events Presented** 

	Asym	Bimo	Circ	Flow	Stre
Asymmetric	452	5	40	16	87
Bimodal	0	577	0	18	5
Circular	21	0	580	2	6
Flower	15	36	5	534	10
Stretched	143	2	25	5	425
Total Responses	622	620	650	575	533

## Appendix C

# Confusion Matrices for Identification of Speed Profiles along a Circular Path in Experiment 2.

**Events Presented** 

	Asym	Bimo	Cons	Harm	Stre
Asymmetric	362	10	0	22	86
Bimodal	0	435	26	18	1
Constant	0	1	477	2	0
Harmonic	16	12	0	396	56
Stretched	66	2	0	17	395
Total Responses	444	460	503	455	538

#### Appendix D

#### Confusion Matrices for Path Shape Identification of Combined Events in Experiment 3.

**Events Presented** 

	Asym	Bimo	Circ	Flow	Stre
Asymmetric	97	13	66	10	54
Bimodal	13	184	14	16	13
Circular	14	4	193	5	24
Flower	31	7	23	150	29
Stretched	27	4	81	5	123
Total Responses	182	212	377	186	243

## Appendix E

# <u>Confusion Matrices for Speed Profile Identification of Combined Events in Experiment</u> <u>3.</u>

**Events Presented** 

	Asym	Bimo	Cons	Harm	Stre
Asymmetric	105	31	7	34	63
Bimodal	13	97	46	70	14
Constant	8	31	158	39	4
Harmonic	40	27	25	106	42
Stretched	49	9	6	41	135
Total Responses	215	195	242	290	258

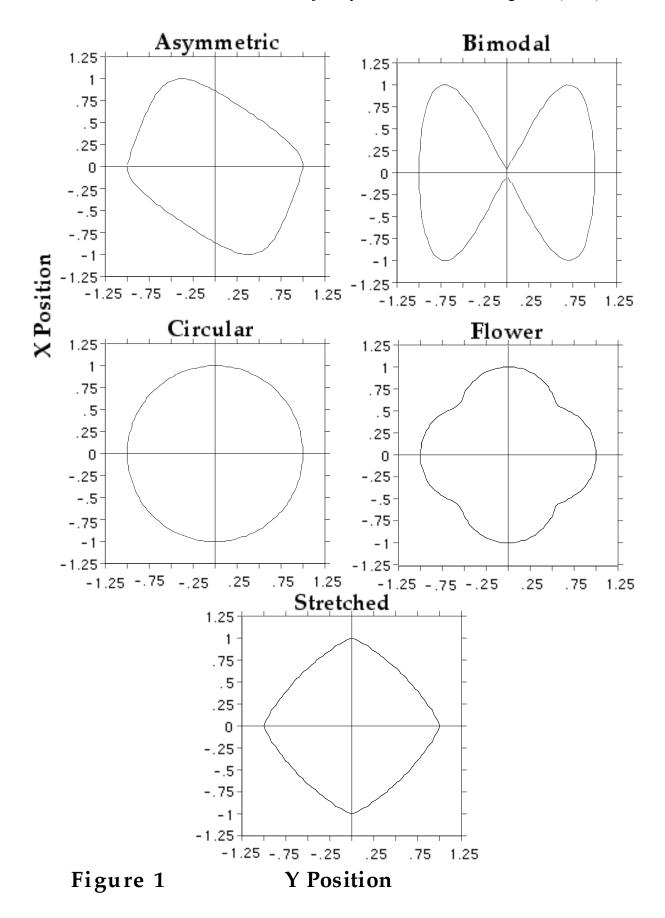
#### **Figure Captions**

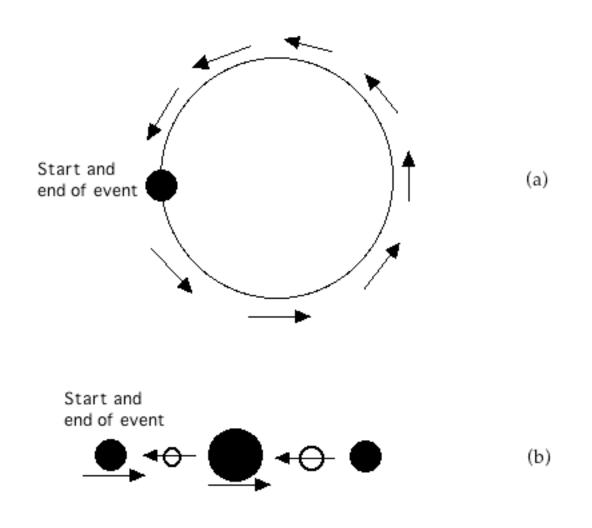
<u>Figure 1.</u> The five path shapes that were used in Experiment 1, plotting the x position again the y position. The units have been normalized.

<u>Figure 2.</u> a) The upright view orientation of the events during the training phase of Experiment 1. The event starts and stops at the same left-most point on the path. b) The depth-view orientation of the events during the testing phase of Experiment 1. Notice that there is no vertical component in the motion. The black circles show the change in image size that would occur as the ball moves around the circular path towards the observer, while the white circles represent the ball's image size on the back portion of the circular path as it returns to the left side of the display.

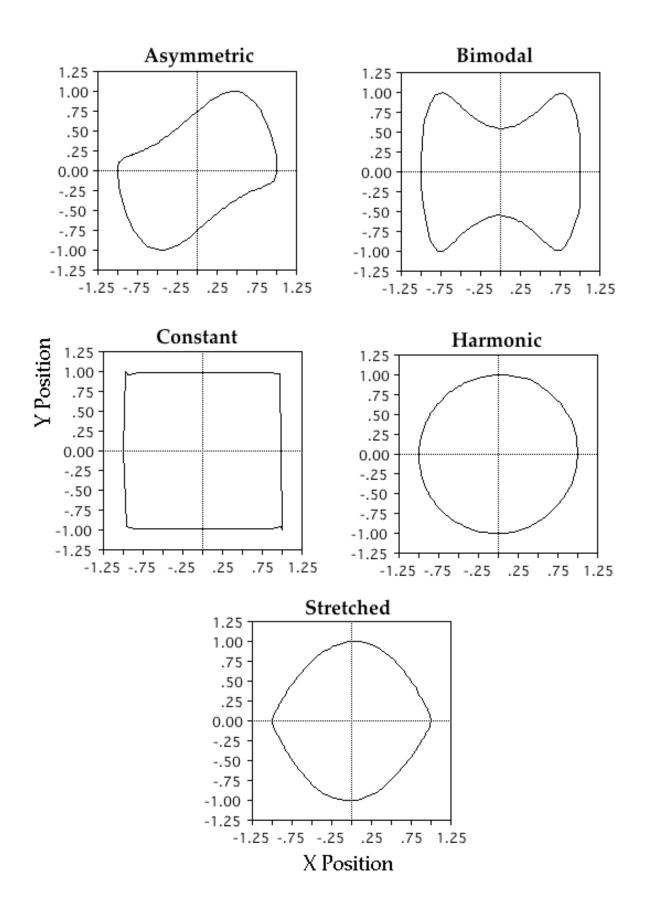
<u>Figure 3.</u> The five speed profiles that were mapped onto a circular path in Experiment 2. Velocity is plotted as a function of position along a straight lined path for each speed profile. The units have been normalized.

<u>Figure 4. (In Appendix A)</u> The viewing geometry indicating the optic flow information for the path and speed profile of an event.





# Figure 2



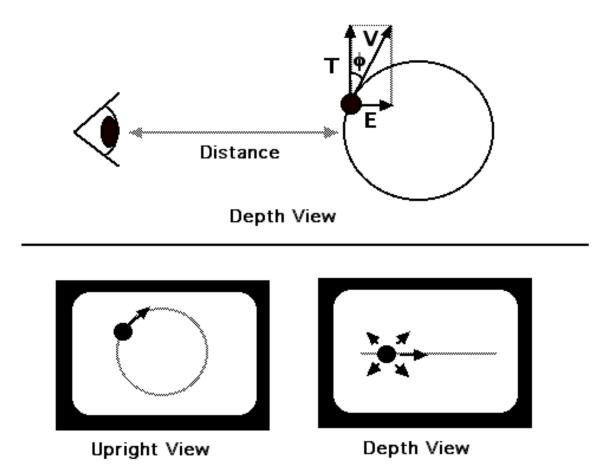


Figure 4